

# THE UNIVERSITY OF HONG KONG

## COUNCIL

The following is a list of non-restricted matters discussed at the Council meeting held on February 28, 2017.

1. The Council RECEIVED a report from the President & Vice-Chancellor on the recent activities of the University including
  - (a) the various initiatives undertaken to improve the status of the University in terms of local, regional and global reputation, financial stability, environmental impact, modern staffing procedures, accommodation, capital programme, *etc.*;
  - (b) the implementation of the Newby Report of the University Grants Committee (the “UGC”);
  - (c) the UGC’s visit to the University on January 12, 2017, in which the delegation toured the campus, heard a presentation from him about the current and future plans for the University, visited the Faculties of Arts and Science, and met with staff and students;
  - (d) his attendance at the World Economic Forum (WEF) meeting in Davos from January 16 to 20, 2017; and his participation in the meeting of the Global University Leaders’ Forum;
  - (e) the annual Spring Reception for the media held at the University Lodge on February 9, 2017;
  - (f) his meeting, together with the Provost & Deputy Vice-Chancellor, the Executive Vice-President (Administration and Finance), and Dr. Eugenie LEUNG, the then Acting Dean of Student Affairs, with the Hong Kong University Students’ Union on February 10, 2017;
  - (g) his attendance at the celebration for the 50<sup>th</sup> anniversary of Robert Black College at the Grand Hall on February 10, 2017;
  - (h) the visit to HKU of Dr. TAN Tieniu, the new Deputy Director of the Liaison Office of the Central People’s Government in Hong Kong on February 13, 2017;
  - (i) the meeting of the Board of the HKU-Shenzhen Hospital held in February 2017; and
  - (j) the visit to HKU of visitors from the universities and research institutes from Germany, the Mainland, Singapore, the United Kingdom and the United States.
2. The Council RESOLVED that Professor CAI Hongbin, Professor in Economics and former Dean of Guanghua School of Management at Peking University, be appointed as the next Dean of Business and Economics for a term of five years.

3. The Council RESOLVED that Professor William Gordon HAYWARD, Chair Professor and Head of the School of Psychology at the University of Auckland, be appointed as the next Dean of Social Sciences for a term of five years.
4. The Council RESOLVED, on the recommendation of the Committee for the Selection of Professional and Administrative Staff, supported by the Senate, that Dr. Eugenie Y.S. LEUNG be appointed as the Dean of Student Affairs under the provisions of Statute IXA.1 for a term of three years.
5. The Council RESOLVED to appoint Professor David R. CARLESS, Associate Dean of Education, as the interim Dean of Education from August 1, 2017 until the arrival of Professor A. Lin GOODWIN, Dean of Education-designate.
6. The Council
  - (a) APPROVED a recommendation of the Nominations Committee that Ms. Priscilla P.S. WONG be appointed as a member of the Council to fill the membership vacancy released by Mr. K.M. WONG under the membership category of “6 persons, not being students or employees of the University, appointed by the Council” from March 1, 2017 to February 29, 2020, in accordance with the provisions of Statute XVIII.2(a); and
  - (b) RECORDED a vote of thanks to Mr. K.M. WONG for his contributions to the Council in the past six years.
7. The Council AGREED to direct under Clause 12(13) of the University Ordinance that Dr. S.J. CANNON, the Executive Vice-President (Administration and Finance) (the “EVP”), be the next-in-line to act as the Vice-Chancellor when both the Vice-Chancellor and the Deputy Vice-Chancellor were not available, on the understanding that the Council’s direction was confined to the serving incumbent only, without extending the same to future EVP appointees.
8. The Council
  - (a) RECEIVED a paper from Dr. S.J. CANNON, Executive Vice-President (Administration and Finance), on the Efficient Resource Management and Leadership Development of the University;
  - (b) NOTED from Dr. CANNON that this programme would deliver the resources and leadership capacity required to modernise and reform the University’s professional and administrative services, and to support the University’s stated vision to become Asia’s Global University; and that the initiatives had the full support of the Senior Management Team, Faculty Deans and Heads of the professional services; and
  - (c) further NOTED the progress to date.

9. The Council

- (a) RECEIVED a paper on the selection of the next President & Vice-Chancellor;
- (b) RESOLVED
  - (i) to adopt the selection criteria for the next President & Vice-Chancellor for the coming exercise, without further circulation to the University community for comments;
  - (ii) to immediately set up a Search Committee and a Selection Committee;
  - (iii) to appoint Dr. T. Brian STEVENSON as the Chairman of the Search Committee;
  - (iv) to conduct an election of three full-time senior professorial Teachers at Chair Professor level, one from each of the 3 cognate Faculty groupings, as members of the Search Committee; and to direct the election to be completed within 3 to 4 weeks, if feasible;
  - (v) to initiate the elections of members to the Selection Committee as soon as possible; and
  - (vi) to confirm that the arrangement and procedures agreed by the Council in March 2012 relating to confidentiality, general rules for search and selection, and committee proceedings, be followed in the coming exercise; and
- (c) THANKED Dr. STEVENSON for agreeing to take up the Chairmanship of the Search Committee.

10. The Council

- (a) RECEIVED a paper on the implementation of Recommendation 2 of the Newby Report of the University Grants Committee (UGC);
- (b) NOTED
  - (i) that in following up on Recommendation 2 of the Newby Report that the UGC should create a mechanism to explore the establishment of a written accountability framework on which the Vice-Chancellor/President and the Council report annually, a meeting of the University representatives with Sir Howard NEWBY was held to discuss a draft written accountability framework proposed by the UGC (the “Hong Kong Compact”); and

- (ii) that as invited by the UGC, the Senior Management Team had provided its views on the draft accountability framework and the KPIs proposed therein and had proposed the HKU-specific KPIs; and
  - (c) ENDORSED the views of the SMT on the written accountability framework proposed by UGC; and APPROVED, for submission to the UGC, the institution-specific KPIs.
- 11. The Council APPROVED, on the recommendation of the Board of the Faculty of Dentistry, supported by the Senate, that an Institute of Advanced Dentistry be established as a sub-division of studies and learning under the provisions of Section 8(3) of the Ordinance in the Faculty of Dentistry for an initial period of five years.
- 12. The Council
  - (a) RECEIVED a report on the review of the Li Ka Shing Faculty of Medicine; and
  - (b) THANKED all members of the Review Panel, Professor TAM, and Professor LEUNG, for undertaking the review and for drawing up the report, noting that the recommendations would be taken forward by the relevant parties of the University.
- 13. The Council
  - (a) RECEIVED a paper on the Regulations Governing the Conduct of Election-related Activities; and
  - (b) APPROVED the proposed Regulations Governing the Conduct of Election-related Activities for implementation with immediate effect and be applicable to those elections which were organised by the University, on the understanding that the Regulations would not apply to elections which had commenced before the effective date of the Regulations, and that necessary consequential amendments would be made to the “Election Regulations for Personal Ballot” and the “Election Regulations for Postal Ballot”.
- 14. The Council
  - (a) RECEIVED the “Report of the Review Panel on University Governance” authored by Professor Sir Malcolm GRANT and Professor William KIRBY as well as the Addendum from Mr. Peter Van Tu NGUYEN; and
  - (b) RESOLVED to establish a Working Party chaired by Dr. Brian T. Stevenson which was charged with the task to propose the necessary policies, processes, arrangements, as well as the overseeing mechanism for their implementation; and to look into those individual recommendations that require further study, including those on which there had been different views from Panel members for advice to the Council.

15. The Council

- (a) HEARD Professor Edward K.Y. CHEN, Chairman of the Council of the Centennial College, speak to matters arising from the governance of the Centennial College; and
- (b) AGREED, on the recommendation of the Chairman, that a Working Group should be set up to conduct a general review of the governance of the Centennial College involving the University, HKU SPACE, and the Centennial College.

16. The Council

- (a) RECEIVED a paper on the proposed revised Policy on Research Integrity (the “Policy”) and Procedures for Dealing with Alleged Staff Misconduct in Research (the “Procedures”); and a paper on the revised “Whistle-blowing” Procedures;
- (b) NOTED
  - (i) that in the light of the experience in handling the case of a complaint against an academic staff last year, the current set of policy and procedures relating to research integrity and “whistle-blowing” had been reviewed;
  - (ii) that the Senate considered the revised Policy and Procedures at its meeting of January 3, 2017 and endorsed the proposed revisions for the consideration of the Council; and
  - (iii) that the “Whistle-blowing” Procedures had also been revised in the light of comments made previously by the Council and advice given by the University’s legal advisors;
- (c) NOTED the following from the President & Vice-Chancellor, in response to comments from members on the proposed revised “Whistle-blowing” Procedures:
  - (i) that the procedures had provided plenty of protection for genuine whistle-blowers who made complaints in good faith; and
  - (ii) that the choice of wordings in the procedures had undergone extensive deliberation by the Senior Management Team and were made with legal advice; and
- (d) APPROVED the following documents for implementation with immediate effect, on the understanding that the revised procedures would not apply to cases which had commenced investigation before the effective date of implementation:
  - (i) the revised Policy on Research Integrity and Procedures for Dealing with Alleged Staff Misconduct in Research; and
  - (ii) the revised “Whistle-blowing” Procedures.

17. The Council
  - (a) RECEIVED a paper from the Finance Committee on the mid-year budget review for 2016-17; and
  - (b) APPROVED the revisions to the 2016-17 budget related to the block grant activities.
  
18. The Council
  - (a) RECEIVED a paper on the review on the University's outside practice policies and regulations;
  - (b) HEARD from the Provost & Deputy Vice-Chancellor
    - (i) that a Working Group, chaired by himself, was set up in December 2015 to review the outside practice regulations in light of Council's advice on the undertaking of periodic reviews as well as the Audit Committee's request for a review of the outside practice operations; and
    - (ii) that extensive consultation had been conducted; and
  - (c) RESOLVED that the recommendations as reflected in the revised Regulations Governing Outside Practice by Professoriate Staff and General Policy Governing Outside Practice/Outside Work for non-professoriate and non-academic staff, be approved to take effect from April 1, 2017, noting that the necessary revisions would be made to take into account comments received from members.
  
19. The Council
  - (a) RECEIVED from Dr. S.J. CANNON, Executive Vice-President (Administration and Finance), the Risk Management Framework of the University;
  - (b) NOTED from Dr. CANNON that the University had developed a structured methodology and accompanying criteria for the management of risk that provided the Senior Management Team and the Council with a holistic view of the institutional risks faced by the University as set out in the report from KPMG; and
  - (c) APPROVED the report on Risk Management Framework;
  
20. The Council RECEIVED a paper on the update on the HKU-Shenzhen Hospital project.
  
21. The Council RECEIVED from the Director of Finance an annual report on the outside practice activities of professoriate staff of the University for the year 2015-16.

22. The Council APPROVED, on the recommendation of the President & Vice-Chancellor, that in recognition of a generous gift of \$15 million from Tin Ka Ping Foundation in support of University developments and scholarships, the Education Library on 8/F, Meng Wah Complex be named as “Tin Ka Ping Education Library (田家炳教育圖書館)”.
23. The Council APPROVED, on the recommendation of the Advisory Committee to the President & Vice-Chancellor on Endowed Professorships,
- (a) that the following Endowed Professorships be established:
- Cheng Yu-Tung Professorship in Sustainable Development  
The University of Hong Kong  
香港大學 鄭裕彤基金教授席 (可持續發展)

in appreciation of a generous donation of HK\$10 million from Fung Global Institute Limited and with a matching fund from the University to fulfill the University’s commitment;

  - HSBC Professorship in Global Economy and Business Strategy  
The University of Hong Kong  
香港大學 匯豐基金教授席 (全球經濟與企業戰略)

in appreciation of a generous donation of HK\$10 million from Fung Global Institute Limited and with a matching fund from the University to fulfill the University’s commitment; and

  - Kingboard Professorship in Advanced Dentistry  
The University of Hong Kong  
香港大學 建滔基金教授席 (先進牙醫學)

in appreciation of a generous donation of HK\$10 million from Kingboard Chemical Holdings Limited and with a matching fund from the University to fulfill the University’s commitment; and
- (b) that the following Endowed Professorship be renamed, as agreed by the Faculty of Law and the donor, Kerry Holdings Limited, to reflect the change in the designated discipline:
- From: Kerry Holdings Professorship in Private Law  
嘉里基金教授席 (私法)
- To: Kerry Holdings Professorship in Law  
嘉里基金教授席 (法學)
24. The Council RECEIVED for information the annual report submitted to the University Grants Committee (UGC) by the Senior Management Team in accordance with the Statement of Recommended Practice for the UGC-Funded Institutions published in September 2015.